

#### 1. Atomic magnetism

#### 2. Exchange interactions, magnetic order and structure



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J M D Coey ESM Lecture Notes 2015

Most atoms are magnetic in ground state In condensed matter magnetic order is more elusive

# Challenge for modelling materials' properties

In atoms, molecules and solids, many (10<sup>2</sup> – 10<sup>24</sup>) interacting electrons and nuclei. Modelling must account for

- Kinetic energies of electrons (and nuclei)
- Electromagnetic interactions
- Indistinguishability of identical electrons, each with spin ½  $\hbar$ 
  - ---- > antisymmetric many electron wavefunctions

(Pauli Exclusion Principle PEP)

 $\Psi(x_1,x_2,x_3,\ldots, \frac{x_i}{,},\ldots, \frac{x_j}{,},\ldots) = -\Psi(x_1,x_2,x_3,\ldots, \frac{x_j}{,},\ldots, \frac{x_i}{,},\ldots)$ 

## Atomic Magnetism - topics

- Electron on the H-atom revision of angular momentum in QM
- Charged particle in a magnetic field B
- H-atom in constant B, orbital and spin moments,

$$\boldsymbol{\mu} = \frac{e}{2m} \boldsymbol{L}, \boldsymbol{\mu} = \frac{ge}{2m} \boldsymbol{S}, \text{ Total } \boldsymbol{\mu}_J = \frac{e}{2m} (\boldsymbol{L} + g \boldsymbol{S})$$

- Many electrons in atoms, wavefunctions in terms of antisymmetricised products of 1 electron functions
- Hund's Rules
- Zeeman effect  $\rightarrow$  paramagnetism, susceptibility  $\chi$ , (diamagnetism, crystal fields)

## Electron in a H-atom

• One electron and a symmetric potential, (e.g. H-atom)

$$i\hbar \frac{\partial \Psi(\mathbf{r},t)}{\partial t} = -\frac{\hbar^2}{2m} \nabla^2 \Psi(\mathbf{r},t) + V(r)\Psi(\mathbf{r},t)$$

with stationary states

$$\Psi(\mathbf{r},t) = \Phi_{n,l,m}(r,\theta,\phi) u_{\sigma} e^{-iE_n t/\hbar}$$

where

$$\Phi_{n,l,m}(r,\theta,\phi) = R_{nl}(r)Y_{lm}(\theta,\phi)$$

and principal,  $n = 1, 2, \dots$ , angular momentum,  $l = 0, 1, \dots, n-1$  and  $m = -l, -l+1, \dots, l$  and spin,  $\sigma = \uparrow, \downarrow$ , quantum numbers.

• H-atom:  $V(r) = -\frac{e^2}{4\pi\varepsilon_0 r}$ ,  $E_n = -\frac{13.6}{n^2}$  eV.



# Charged particle in a magnetic field

Classical picture: with magnetic  $\mathbf{B} = \nabla \times \mathbf{A}$  and electric fields  $\mathbf{E} = -\nabla V - \frac{\partial \mathbf{A}}{\partial t}$ , the Hamiltonian is  $H = \frac{1}{2m} (\mathbf{p} - \mathbf{q}\mathbf{A})^2 + \mathbf{q}\mathbf{V}$ 



with particle's motion set by

$$\frac{d\mathbf{v}}{dt} = q(\mathbf{E} + (\mathbf{v} \times \mathbf{B})).$$

Quantum:  $\hat{H}\Psi(\mathbf{r},t) = i\hbar \frac{\partial \Psi(\mathbf{r},t)}{\partial t}$  and  $\mathbf{p} \to -i\hbar \nabla$ . In a constant magnetic field  $\mathbf{B}$ ,  $\mathbf{A} = -\frac{1}{2}(\mathbf{r} \times \mathbf{B})$  and the Schrodinger Eq. is

$$\left(-\frac{\hbar^2}{2m}\nabla^2 - \frac{q}{2m}\mathbf{B}\cdot\hat{\mathbf{L}} + \frac{q^2(\mathbf{r}\times\mathbf{B})^2}{8m} + qV\right)\Psi(\mathbf{r},t) = i\hbar\frac{\partial\Psi(\mathbf{r},t)}{\partial t}.$$

The angular momentum  $\hat{\mathbf{L}} = (\mathbf{r} \times \hat{\mathbf{p}})$  where the components follow  $[\hat{L}_x \hat{L}_y - \hat{L}_y \hat{L}_x] = i\hbar \hat{L}_z$ ] etc. leads to an magnetic moment  $\boldsymbol{\mu} = \frac{q}{2m} \hat{\mathbf{L}}$ 

In a weak magnetic field (Zeeman Effect) the degeneracy of the energy levels of the electron in a hydrogen atom are broken and become  $E_n + m \frac{e\hbar B}{2m_e}$ .

#### So, in a weak magnetic fields spectral lines split





but splitting is further doubled (Stern Gerlach experiment )

→ electrons' intrinsic spin 1/2  $\hbar$ and spin magnetic moment,  $\mu_s$ .



### Spin, spin moments, Pauli matrices...

- An electron has spin  $\frac{1}{2}\hbar$  with spin magnetic moment,  $\frac{ge\hbar}{4m}\sigma = \mu_B\sigma$ Interaction with magnetic field -  $\mu_B \mathbf{B} \cdot \boldsymbol{\sigma}$ , eigenvalues  $\pm \mu_B \mathbf{B}$
- Spin properties captured by 2 X 2 matrices: Pauli spin matrices,

 $\boldsymbol{\sigma} = (\sigma_x, \sigma_y, \sigma_z)$ . Spin angular momentum **S**= ½ $\hbar \boldsymbol{\sigma}$ 

Pauli-Schrodinger Eq. (omitting diamagnetic term)

$$\begin{pmatrix} \frac{1}{2m}\hat{\mathbf{p}}^2 + \frac{e}{2m}\mathbf{B}\cdot(\hat{\mathbf{L}} + g\hat{\mathbf{S}}) - eV(r) \end{pmatrix} \Psi(\mathbf{r}, t) = i\hbar \frac{\partial\Psi(\mathbf{r}, t)}{\partial t}.$$
$$\Psi(\mathbf{r}, t) = \begin{pmatrix} \Psi_{\uparrow}(\mathbf{r}, t) \\ \Psi_{\downarrow}(\mathbf{r}, t) \end{pmatrix},$$

Relativistic QM, Dirac Eq. --- spin arises naturally and leading relativistic corrections include spin-orbit coupling  $\Lambda(r) L.S$ 

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#### Many electrons in atoms – spin and exchange

• Helium atom, Z = 2, to illustrate.

Kinetic energy of 1st electron, its attraction to doubly charged nucleus, kinetic energy of 2nd electron, its attraction to nucleus, repulsion between the two electrons.

$$\hat{H} = \hat{H}_0 + \frac{e^2}{4\pi\varepsilon_0|\mathbf{r}_1 - \mathbf{r}_2|}$$

$$\hat{H}\Psi_{\lambda} = E_{\lambda}\Psi_{\lambda}, \Psi_{\lambda}(\mathbf{r}_{1},\sigma_{1},\mathbf{r}_{2},\sigma_{2}) = -\Psi_{\lambda}(\mathbf{r}_{2},\sigma_{2},\mathbf{r}_{1},\sigma_{1}).$$

• Neglect e-e interaction and  $\Psi_{\lambda}(\mathbf{r}_1, \sigma_1, \mathbf{r}_2, \sigma_2) = \frac{1}{\sqrt{2}}(\phi_a(\mathbf{r}_1)u_{1,\sigma}\phi_b(\mathbf{r}_2)u_{2,\sigma'} - \phi_a(\mathbf{r}_2)u_{2,\sigma}\phi_b(\mathbf{r}_1)u_{1,\sigma'})$  where  $a = (n, l, m), \ b = (n', l'.m'), \ \sigma, \sigma' = \uparrow, \downarrow \text{ and } \phi_{a(b)}$  are one-electron hydrogenic functions for Z = 2.

- Show that e-e interaction breaks degeneracy to split states into two sets: a triplet with spin S = 1,(↑↑) and a singlet with spin S = 0,(↑↓) and energies E<sub>a</sub> + E<sub>b</sub> + (V J) and E<sub>a</sub> + E<sub>b</sub> + (V + J) respectively.
- Coulomb integral

$$V = \int \int |\phi_a(\mathbf{r}_1)|^2 V_{ee}(|\mathbf{r}_1 - \mathbf{r}_2|) |\phi_b(\mathbf{r}_2)|^2 d\mathbf{r}_1 d\mathbf{r}_2$$

and Exchange integral

$$J = \int \int \phi_{a}^{\star}(\mathbf{r}_{1})\phi_{b}(\mathbf{r}_{1})V_{ee}(|\mathbf{r}_{1}-\mathbf{r}_{2}|)\phi_{b}^{\star}(\mathbf{r}_{2})\phi_{a}(\mathbf{r}_{2})d\mathbf{r}_{1}d\mathbf{r}_{2}$$

$$E_a + E_b + V$$

### Many electrons in atoms

- 2 electrons in same spatial state occupy different spin states (S=0), electrons with 'parallel' spins (S=1) tend to avoid each other --- spin correlation. Magnetic properties of matter.
- Many electron wavefunctions as Slater determinants of 1-electron wavefunctions.
- Each electron in effective potential set up by nucleus and other electrons, l degeneracy broken.
- Products of states labelled as 1s2, 2s2, 2p6,...
- Hunds' Rules

	n	1	m <sub>l</sub>	m <sub>s</sub>	No of states
<b>1s</b>	1	0	0	±1/2	2
<b>2s</b>	2	0	0	±1/2	2
2p	2	1	<b>0,</b> ±1	±1/2	6
<b>3s</b>	3	0	0	±1/2	2
3p	3	1	<b>0,</b> ±1	±1/2	6
3d	3	2	0,±1,±2	±1/2	10
<b>4</b> s	4	0	0	±1/2	2
4p	4	1	0,±1	±1/2	6
4d	4	2	0,±1,±2	±1/2	10
4f	4	3	0,±1,±2,±3	±1/2	14



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#### Hunds' Rules

First add orbital L and spin S momenta of the electrons

Then couple them to give total J = L + S

 $J^2 \rightarrow . j(j+1) \hbar^2$ ,  $J_z \rightarrow m_J \hbar$ ,  $m_J = -J, -J+1, ..., J$ 

J= |L + S|, |L + S -1|, ..., |L – S|

Different J-states are termed multiplets  ${}^{2S+1}X_J$ X = S, P, D, F, G, ... for L = 0, 1, 2, 3, 4, ...

Total magnetic moment  $\boldsymbol{\mu}_J = -\frac{e}{2m} (\boldsymbol{L} + g \boldsymbol{S}) = -g_J \frac{e}{2m} \boldsymbol{J}$ where  $g_J = 3/2 + (S(S+1) - L(L+1))/2J(J+1)$  Hunds' Rules:

To determine ground state of many electron atom/ion

#### 1. Maximise S

- 2. Maximise L consistent with S
- 3. Couple L and S to form J
- Shell < half full, J= |L S|
- Shell > half full, J = L + S

#### Examples

 $Sm^{3+}$  ion (Sm Z = 62)  $Co^{2+}$  ion (Co Z = 27) [Xe], <mark>4f5</mark>, (6s2, 5d1) 1s2, 2s2, 2p6, 3s2, 3p6, <mark>3d7</mark>, *(4s2)* m -2 -1 0 1 2 m -3 -2 -1 0 1 2 3  $\uparrow \uparrow \uparrow \uparrow \uparrow \uparrow$ . . ↑ ↑ ↑ ↑ ↑  $\downarrow \downarrow$  . . . . . . . Maximising S = 5/2Maximising S = 3/2Maximising L = 5Maximising L = 3J = |L - S| = 5/2J = L + S = 9/2<sup>6</sup>H<sub>5/2</sub>  ${}^{4}F_{9/2}$ 

Try  $Mn^{2+}$  (Mn Z=25) and  $Ho^{3+}$  (Ho Z=67) ions

Mn<sup>2+</sup>:S=5/2, L=0, J= 5/2, <sup>6</sup>S<sub>5/2</sub>. Ho<sup>3+</sup>:S=2,L=6, J=8, <sup>5</sup>I<sub>8</sub>



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# Zeeman effect, $\chi$ , paramagnetism

- What is the change to magnetization M to N atoms in volume V by applying a magnetic field B?
- Energy levels are split into 2J+1
- Partition function Z, Free Energy, magnetisation M

$$Z = \sum_{i} e^{-E_{i}/k_{B}T} = \sum_{m_{J}=-J}^{m_{J}=J} e^{\frac{-m_{J}g_{J}\mu_{B}B}{k_{B}T}}$$

$$F = -(N/V) k_B T \ln Z,$$

$$M = -\frac{\partial F}{\partial B}$$



Paramagnetism weak, positive, T-dependent

(stronger than T-independent diamagnetism).

$$\chi = \frac{M}{H} = \frac{\mu_0 M}{B} = (N/V) \frac{\mu_{eff}^2}{3k_B T}$$

$$\mu_{eff} = g_J \mu_B \sqrt{(J(J+1))}$$

Workings, saturation magnetization,  $M_s$ , Brillouin function  $B_J(y)$ ...

$$Z = \sum_{i} e^{-E_{i}/k_{B}T} = \sum_{m_{J}=-J}^{m_{J}=J} e^{\frac{-m_{J}g_{J}\mu_{B}B}{k_{B}T}}.$$
$$F = -(N/V) k_{B}T \ln Z,$$

$$M = -\frac{\partial F}{\partial B}$$
$$M = M_S \mathcal{B}_J \left(\frac{J g_J \mu_B B}{k_B T}\right)$$

$$M_S = (N/V) g_J \mu_B J$$
$$\mathcal{B}_J(y) = \frac{(2J+1)}{2J} \coth\left(\frac{(2J+1)}{2J}y\right) - \frac{1}{2J} \coth\left(\frac{y}{2J}\right)$$

$$J \to \infty, \mathcal{B}(y) = \coth(y) - \frac{1}{y}.$$
$$J = \frac{1}{2}, \mathcal{B}_{1/2}(y) = \tanh(y)$$
$$y \to 0, \mathcal{B}_J(y) = \frac{(J+1)y}{3J}$$

$$\chi = \frac{M}{H} = \frac{\mu_0 M}{B} = (N/V) \frac{\mu_{eff}^2}{3k_B T}$$
$$\mu_{eff} = g_J \mu_B \sqrt{(J(J+1))}$$

#### Supporting material

- S. J Blundell, "Magnetism in Condensed Matter", O. U. P. (2001).
- N. W. Ashcroft and N. D. Mermin, "Solid State Physics", Cengage, (2021).
- J. M. D. Coey, "Magnetism and Magnetic Materials", C. U. P. (2010).
- J. Kübler, "Theory of Itinerant Electron Magnetism", O. U. P., (2021)

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- Dipolar interactions between moments,  $\mu_{i}$ 's
- Exchange interactions
  - Direct exchange
  - Superexchange
  - Indirect exchange
- Itinerant electrons ---  $\mu_i$ 's ?
- "Spin" models for magnetism over longer length scales mean field approximation
  - Magnetic order,  $T_c$ ,  $T_N$ . Magnetic phases





#### **Dipolar Interactions**

 $E_{dd} \sim (\mu_1 \cdot \mu_2 - 3(\mu_1 \cdot R)(\mu_2 \cdot R))/R^3$ 

 $E_{dd} \sim 10^{-5} \, eV$ 

Dipoles order at v. low T but magnetic ordering temperatures can be much higher e.g Tc of Fe ~ 1000K, Gd ~ 290K, Nd<sub>2</sub>Fe<sub>14</sub>B ~ 700K  $T_N$  of antiferromagnetic MnO ~ 120K.

Need another physical mechanism

Spin and Exchange effects from many electrons spread over several atoms are principal causes of magnetic order in condensed matter



# Challenge for modelling materials' properties

- Kinetic energies of electrons (and nuclei)
- Electromagnetic interactions
- Indistinguishability of identical electrons, each with spin ½  $\hbar$ 
  - ---- > antisymmetric many electron wavefunctions (PEP)

 $\Psi(x_1, x_2, x_3, \dots, x_i, \dots, x_j, \dots) = -\Psi(x_1, x_2, x_3, \dots, x_j, \dots, x_i, \dots)$ 

- Many electron states in terms of products of 1-electron states in effective potentials (HF, DFT, etc.)
- Exchange and spin effects



#### **Direct exchange**

General aspect of 2 electrons – spins combine to form either an S =0 singlet state  $(\uparrow \downarrow)$ or an S=1 triplet state  $(\uparrow \uparrow)$ .

Difference in energy - exchange 2J,

$$J = \int \int \phi_a^*(\mathbf{r}_1) \phi_b(\mathbf{r}_1) V_{ee}(|\mathbf{r}_1 - \mathbf{r}_2|) \phi_b^*(\mathbf{r}_2) \phi_a(\mathbf{r}_2) d\mathbf{r}_1 d\mathbf{r}_2$$

Motivates Heisenberg model

$$H = -\sum_{ij} S_i \cdot S_j$$

between pairs of spins

Important when metal atoms like Fe, Mn, Co, Ni are close together

#### Superexchange





Most often antiferromagnetic, prevalent in transition metal oxides MnO etc.

#### **Indirect exchange**







Interaction between 2 partially-filled f-shells in lanthanides via their effect on the conduction electrons, [Xe] 4f<sup>n</sup> 6s2 5d1



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## Itinerant and localised electrons

Rare earths in solids are described in terms of both localised atomic orbitals (partially filled f-shells) and delocalised Bloch waves of the conduction electrons

### Localisation

Degree of electron localisation causes magnetism in solids or not.

- Simple metals and semi-conductors non-magnetic
- Rare earth atoms have atomically localised magnetic moments
- Transition metals have partially filled d-shells, weakly localised electrons subject to itinerant exchange interactions.

Stoner model paradigm (rigorously with DFT)

#### Itinerant electrons and the Stoner n

$$\left(\frac{1}{2m}\hat{\mathbf{p}}^{2} + \frac{ge}{2m}\mathbf{B}^{eff.}(\mathbf{r})\cdot\hat{\mathbf{S}} + V^{eff.}(\mathbf{r})\right)\Phi_{n}(\mathbf{r}) = E_{n}\Phi_{n}(\mathbf{r})$$
$$n(\mathbf{r}) = \sum_{n}^{E_{F}}\Phi_{n}^{*}(\mathbf{r})\Phi_{n}(\mathbf{r}), \mathbf{M}(\mathbf{r}) = \sum_{n}^{E_{F}}\Phi_{n}^{*}(\mathbf{r})\hat{\mathbf{S}}\Phi_{n}(\mathbf{r})$$

 $V_{eff}$ . and  $B_{eff}$  depend on charge and spin densities n(r) and M(r). A ferromagnetic metal sustains a finite spin density M and the electronic band structure is spin-polarised.

Local magnetic moments are identified in regions around the atoms. These are the 'spins' for describing magnetic order in itinerant electron magnets with orientations  $\{e_i\}$ 



$$D^{\pm}(E) = D_0(E \pm \frac{1}{2}IM)$$

#### E

# Spin-polarised density of states of the electrons $E_{E_F}$

 $\mathsf{E}_\mathsf{F}$ 

 $D^+$ 







 $D^{\uparrow (\downarrow)}(E) = D(E \pm I M/2)$ 

 $M = N^{\uparrow} - N^{\downarrow}$ 

#### Fluctuating local moments and itinerant electrons

Slow nuclei vibrations about nearly fixed crystal lattice positions surrounded by electron glue with fast and slow fluctuations · · ·

20

10 of states

C

-20

-30

0.3

ensity -10

പ്

0.4

0.2

Spin waves at low T coalesce into 'local moments' at higher T -



and the Charles the Charles have



Density of States (states per Ry per spin) 0 0 0 0 0 0 0 0 0 0

-0.6

Majority Spin

Minority Spin

-0.2

Energy (Ry)

-0.4

local polarisation of electronic spin density around an atom changes orientation slowly on time scale of other electronic behaviours,  $\{\hat{e}_i\}$ . Energies  $\mathcal{H}{\hat{e}_i}$ .

Iron above the Curie temperature

0.7

Energy (Ry.)

0.5

0.9



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#### Spin models, magnetic ordering and structure



#### Mean field approximation

#### A very useful inequality, the Feynman – Peierls' Inequality







$$k_B T_C = \frac{(S+1)J(0)}{3S},$$

$$J(\mathbf{Q}) = \frac{1}{N} \sum_{j} J_{ij} \cos(\mathbf{Q} \cdot \mathbf{R}_{ij})$$
$$k_B T_N = \frac{(S+1)J(\mathbf{Q}_{max})}{3S}$$



#### Example of Nd2Fe14B – the ubiquitous magnet

J. Bouaziz et al. PRB 107, L020401,(2023).

$$ar{\Omega} = -rac{1}{2}\sum_{ij}\mathcal{J}_{ij}oldsymbol{m}_i\cdotoldsymbol{m}_j - rac{1}{4}\sum_i\mathcal{B}_I(oldsymbol{m}_i\cdotoldsymbol{M})^2$$

Pairwise exchange  $J_{ij}$  and higher order  $B_1$  parameters produced for further atomistic spin modelling.



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