

# Magnetism and Matter

## MM-2: Electronic and magnetic properties

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# 1. Elliott-Yafet Parameter & spin-relaxation

# Unquenching the orbital moment by spin-orbit interaction

The spin-orbit interaction is in the wave function!

1<sup>st</sup> order perturbation theory:

$$|o\rangle^{(1)} = |o\rangle + \sum_u \frac{\langle u|\xi\vec{L}\cdot\vec{S}|o\rangle}{(\epsilon_u - \epsilon_o)}|o\rangle$$

Orbital moment:

$$^{(1)}\langle o|\vec{L}|o\rangle^{(1)} \propto - \sum_{u(u \neq o)} \frac{\langle o|\vec{L}|u\rangle\langle u|\xi\vec{L}\cdot\vec{S}|o\rangle}{(\epsilon_u - \epsilon_o)}|o\rangle$$

MAE due to MCA:  $E_{\text{MCA}} \propto \langle H_{\text{SO}} \rangle \propto \xi \langle o|\vec{L}|o\rangle^{(1)}\langle\vec{S}\rangle$

(2<sup>nd</sup> order perturbation)

$|o\rangle :=$  occupied, ground states

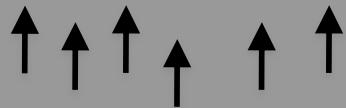
$|u\rangle :=$  unoccupied, excited states

$$\propto - \sum_{u(u \neq o)} \frac{|\langle u|\xi\vec{L}\cdot\vec{S}|o\rangle|^2}{(\epsilon_u - \epsilon_o)}$$

For d-states  $|o\rangle, |u\rangle \in (|xy;\uparrow\rangle, |xz;\uparrow\rangle, |yz;\uparrow\rangle, |x^2 - y^2;\uparrow\rangle, |3z^2 - r^2;\uparrow\rangle, |xy;\downarrow\rangle, |xz;\downarrow\rangle, |yz;\downarrow\rangle, |x^2 - y^2;\downarrow\rangle, |3z^2 - r^2;\downarrow\rangle)$

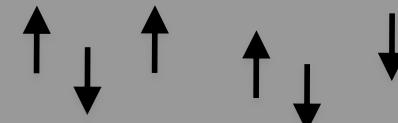
# Concept of spin relaxation

Injection of  
spin population



time  $T_1$

Equilibration of  
spin population



Bloch equation:

$$\frac{d\langle \mathbf{s} \rangle}{dt} = -\gamma \mathbf{B} \times \langle \mathbf{s}(t) \rangle - \frac{1}{T_1} \langle \mathbf{s}(t) \rangle$$

For  $\mathbf{B}=0$ :

$$\langle \mathbf{s}(t) \rangle = \langle \mathbf{s}(0) \rangle \exp(-t/T_1)$$

# Spin degeneracy in nonmagnetic solid without spin orbit interaction

degenerate  
states

$$\left. \begin{array}{l} \psi_{\mathbf{k}\uparrow}(\mathbf{r}) = a_{\mathbf{k}\nu}(\mathbf{r}) | \uparrow \rangle e^{i\mathbf{k}\cdot\mathbf{r}} \\ \psi_{\mathbf{k}\downarrow}(\mathbf{r}) = a_{\mathbf{k}\nu}(\mathbf{r}) | \downarrow \rangle e^{i\mathbf{k}\cdot\mathbf{r}} \end{array} \right\}$$

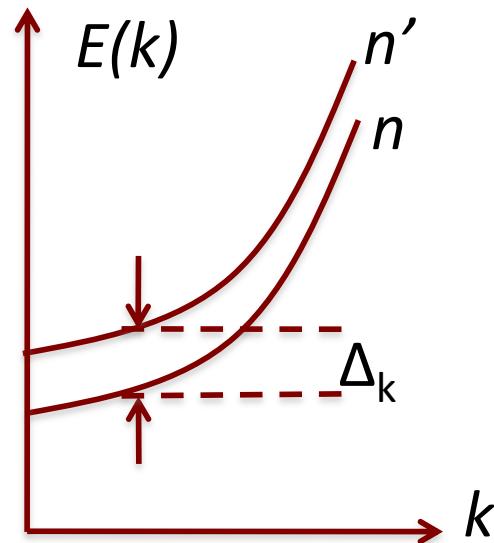
# Spin mixing by spin-orbit coupling (Elliott, 1954)

degenerate states

$$\left\{ \begin{array}{l} \psi_{\mathbf{k}\uparrow}(\mathbf{r}) = \left( a_{\mathbf{k}}(\mathbf{r}) |\uparrow\rangle + b_{\mathbf{k}}(\mathbf{r}) |\downarrow\rangle \right) e^{i\mathbf{k}\cdot\mathbf{r}} \\ \psi_{\mathbf{k}\downarrow}(\mathbf{r}) = \left( a_{-\mathbf{k}}^*(\mathbf{r}) |\downarrow\rangle - b_{-\mathbf{k}}^*(\mathbf{r}) |\uparrow\rangle \right) e^{+i\mathbf{k}\cdot\mathbf{r}} \end{array} \right.$$

Usually:  
 $|a|^2 \approx 1$   
 $|b|^2 \ll 1$

$|b^2|$ : Elliott-Yafet parameter



$$H_{\text{SOC}} = \xi(r) \mathbf{L} \cdot \mathbf{S}$$

Perturbation theory:

$$b_{\mathbf{k}} = \frac{(\overset{\circ}{\Psi}_{n'\mathbf{k}}^\downarrow | H_{\text{SOC}} | \overset{\circ}{\Psi}_{n\mathbf{k}}^\uparrow)}{\Delta_{\mathbf{k}}} e^{-i\mathbf{k}\cdot\mathbf{r}} \overset{\circ}{\Psi}_{n'\mathbf{k}}^\downarrow$$

Rule of thumb:

$\Delta$  small  $\rightarrow |b^2|$  large

# Importance for spin relaxation (Elliott 1954; Yafet 1963)

degenerate  
states

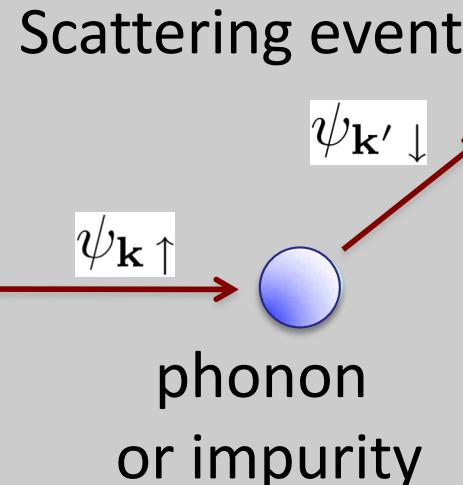
$$\begin{cases} \psi_{\mathbf{k}\uparrow}(\mathbf{r}) = (a_{\mathbf{k}}(\mathbf{r})|\uparrow\rangle + b_{\mathbf{k}}(\mathbf{r})|\downarrow\rangle)e^{i\mathbf{k}\cdot\mathbf{r}} \\ \psi_{\mathbf{k}\downarrow}(\mathbf{r}) = (a_{-\mathbf{k}}^*(\mathbf{r})|\downarrow\rangle - b_{-\mathbf{k}}^*(\mathbf{r})|\uparrow\rangle)e^{+i\mathbf{k}\cdot\mathbf{r}} \end{cases}$$

Usually:

$$|a|^2 \approx 1$$

$$|b|^2 \ll 1$$

$|b^2|$ : Elliott-Yafet parameter



Spin-flip  
probability:

momentum  
scattering

spin  
overlap

$$P_{\mathbf{k}\mathbf{k}'}^{\uparrow\downarrow} \sim |T_{\mathbf{k}\mathbf{k}'}|^2 \times |\langle a_{\mathbf{k}} | b_{\mathbf{k}'} \rangle|^2 \propto |b_{\mathbf{k}'}|^2 + (\text{impurity spin-orbit effects})$$

Spin-relaxation rate:

$$T_1^{-1} = \sum_{\mathbf{k}\mathbf{k}'} (P_{\mathbf{k}\mathbf{k}'}^{\uparrow\downarrow} + P_{\mathbf{k}\mathbf{k}'}^{\downarrow\uparrow})$$

# Example: Elliott-Yafet parameter in Cu, Au

degenerate  
states

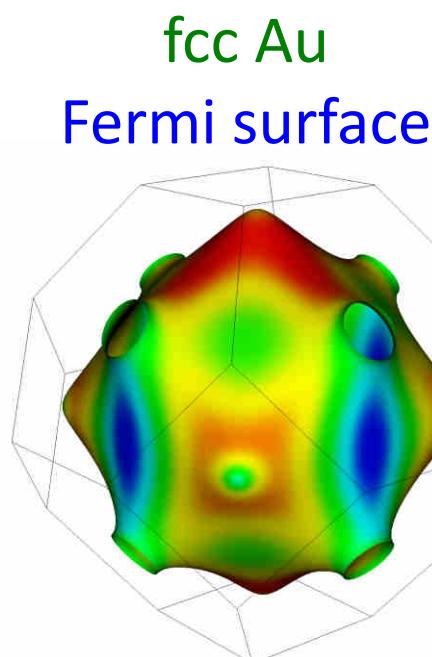
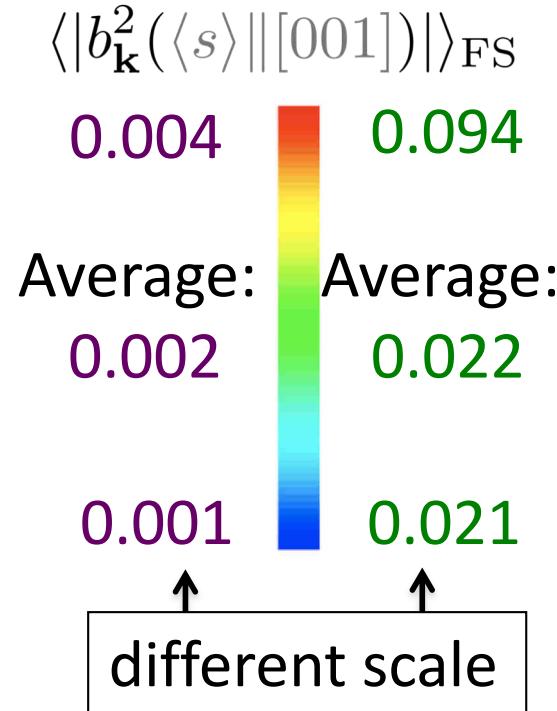
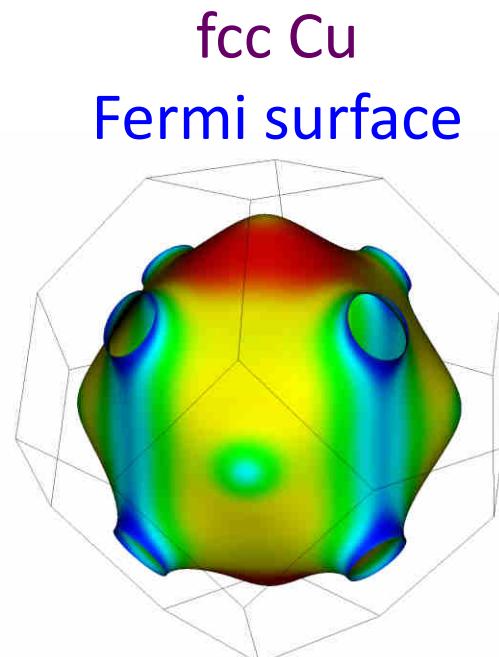
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Usually:

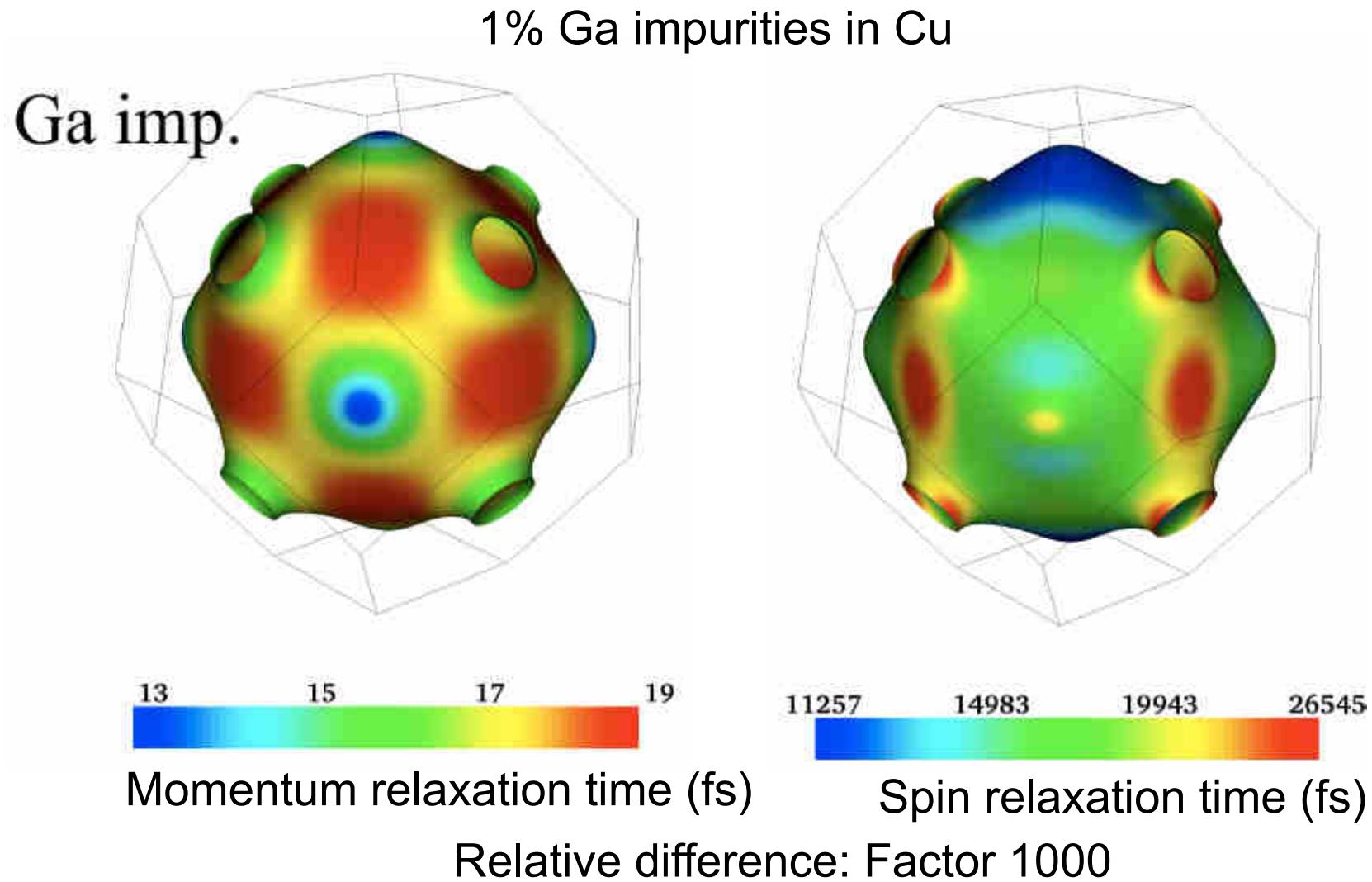
$$|a|^2 \approx 1$$

$$|b|^2 \ll 1$$

$|b^2|$ : Elliott-Yafet parameter

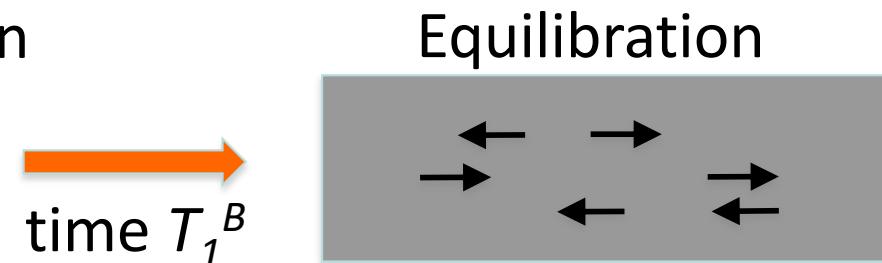
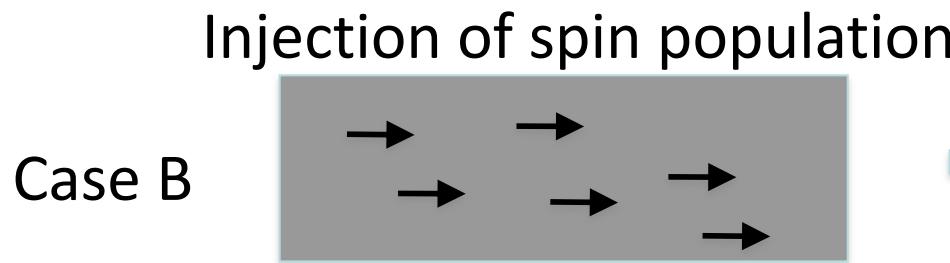
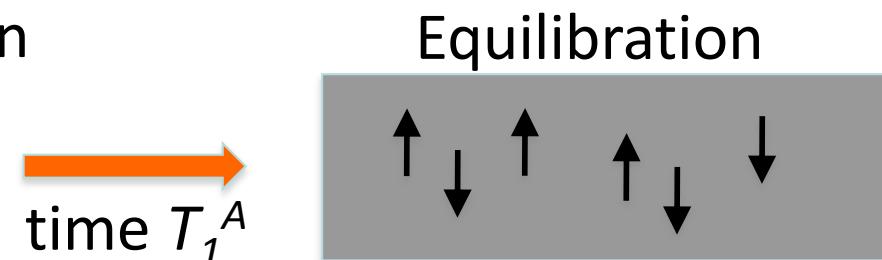
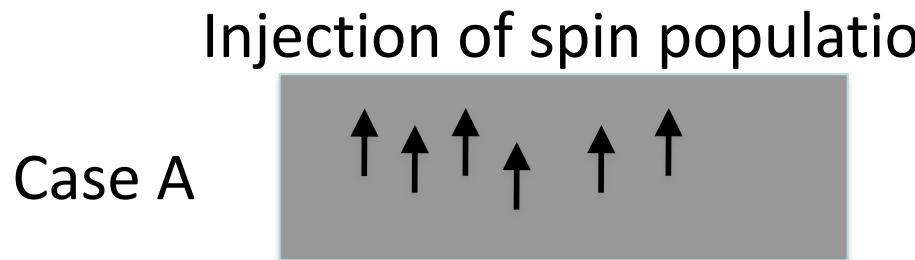


# Momentum and spin relaxation time



Swantje Heers, PhD Thesis, FZJ 2011 (see also D. Fedorov et al, PRB 2008)

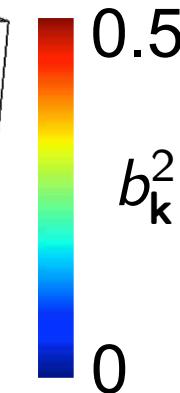
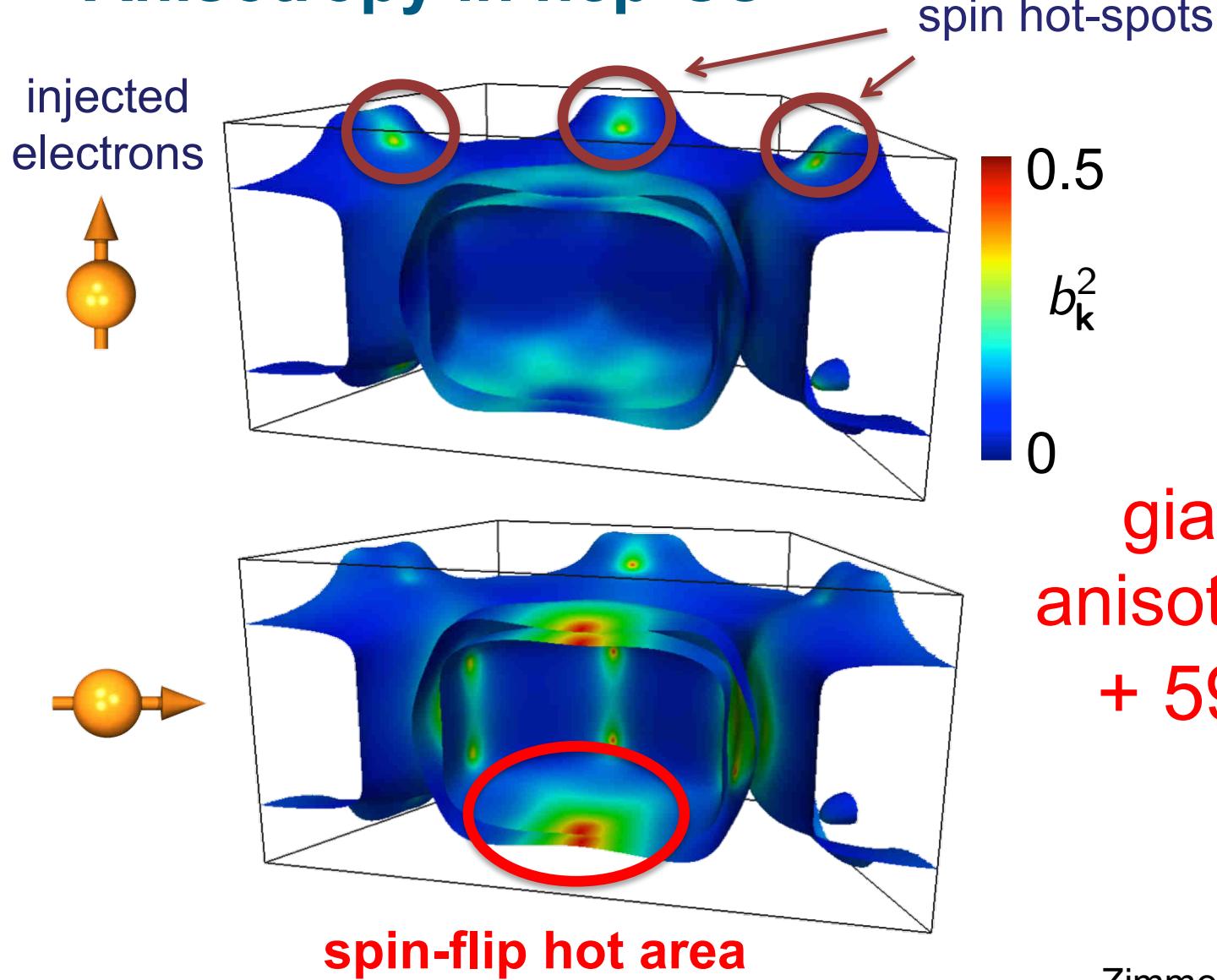
# Gedanken-experiment



Should we expect that  $T_1^A \neq T_1^B$  ?

Yes, if the material structure is anisotropic:  
Anisotropy of Elliott-Yafet parameter  $b^2$  !

# Anisotropy in hcp-Os

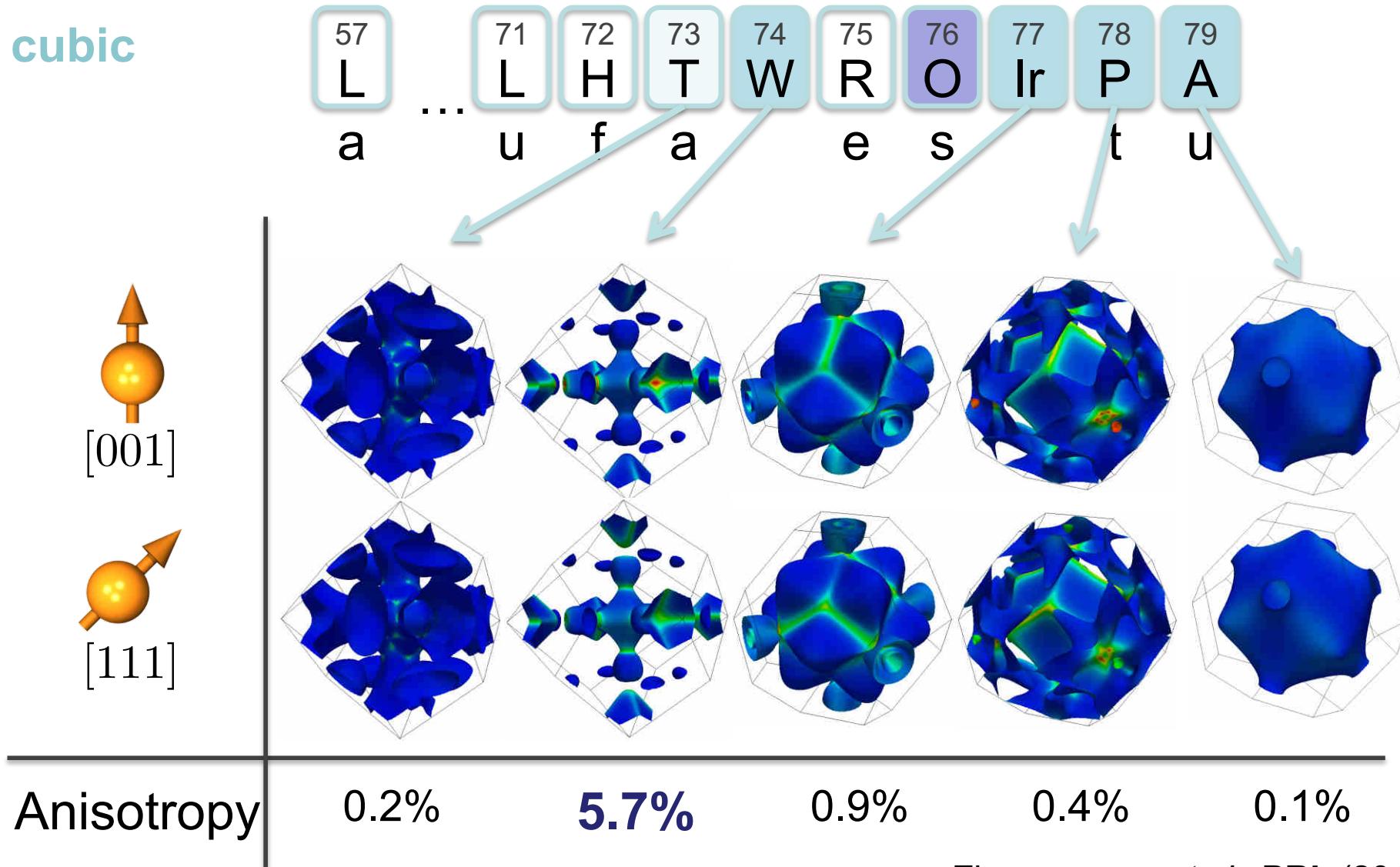


giant  
anisotropy  
+ 59%

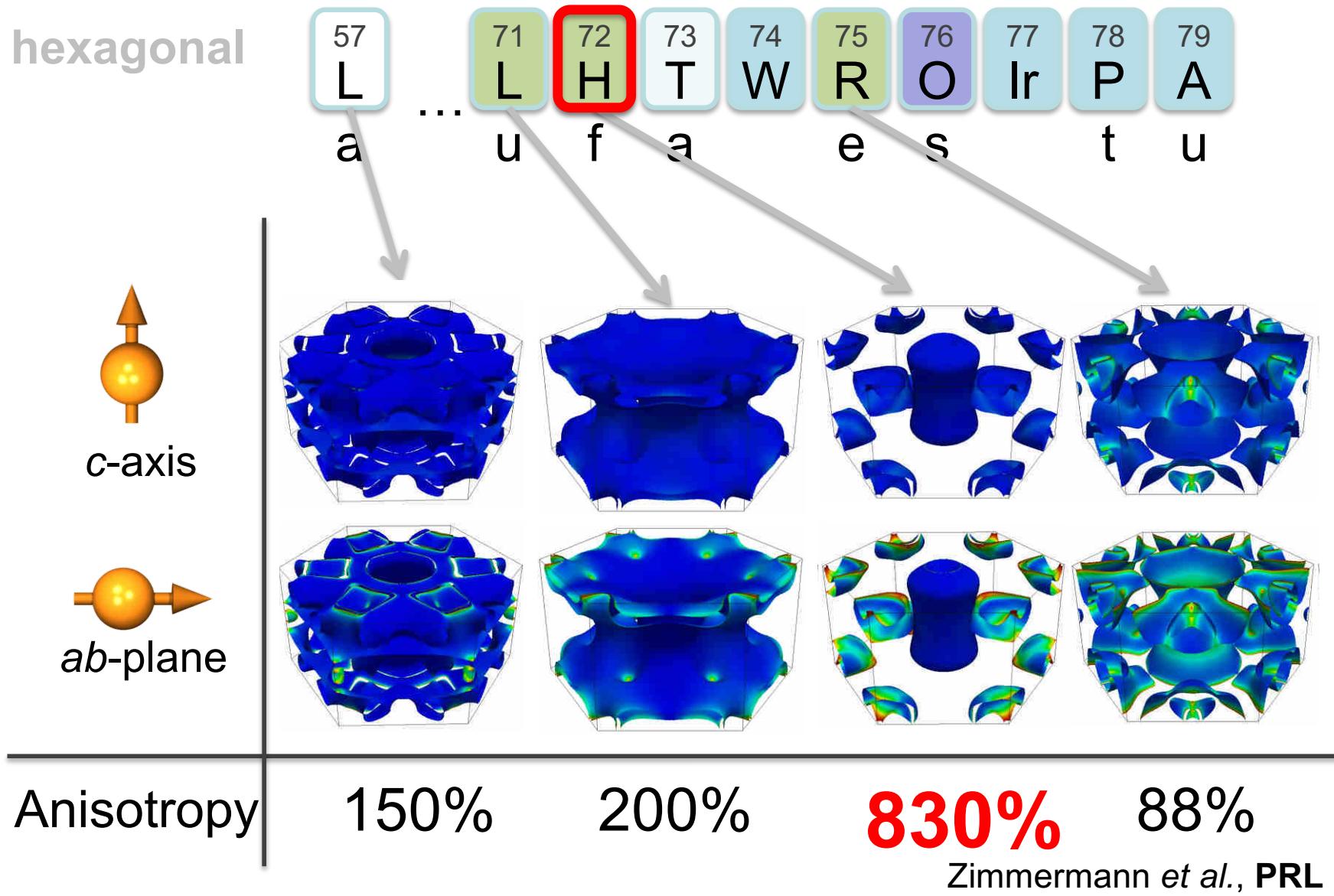
$$\begin{aligned} \frac{1}{T_1} &\sim \langle b_{\mathbf{k}}^2 \rangle_{FS} \\ &= 0.048 \approx \frac{1}{20} \\ &\downarrow \\ &0.077 \approx \frac{1}{13} \end{aligned}$$

Zimmermann *et al.*, PRL (2012)

# Trends across the periodic table



# Trends across the periodic table



# Spin-Orbit Coupling: Space Inversion Symmetry

Elemental solids (Cu, Si, Al....)

For a given band  $\nu$  the following two states have the same energy  $\epsilon_{\mathbf{k}\nu}$

$$\Psi_{\mathbf{k}\nu\uparrow}(\mathbf{r}) = [a_{\mathbf{k}\nu}(\mathbf{r})| \uparrow \rangle + b_{\mathbf{k}\nu}(\mathbf{r})| \downarrow \rangle]e^{i\mathbf{k}\cdot\mathbf{r}}$$

$$\Psi_{\mathbf{k}\nu\downarrow}(\mathbf{r}) = [a_{-\mathbf{k}\nu}^*(\mathbf{r})| \downarrow \rangle - b_{-\mathbf{k}\nu}^*(\mathbf{r})| \uparrow \rangle]e^{i\mathbf{k}\cdot\mathbf{r}}$$

Proof:  $\Psi_{\mathbf{k}\nu\uparrow}(\mathbf{r}) = [a_{\mathbf{k}\nu}(\mathbf{r})| \uparrow \rangle + b_{\mathbf{k}\nu}(\mathbf{r})| \downarrow \rangle]e^{i\mathbf{k}\cdot\mathbf{r}}$

time reversal



$$-i\sigma_y \hat{C}$$

$$\sigma_y = \begin{pmatrix} 0 & -i \\ i & 0 \end{pmatrix}$$

$$[a_{\mathbf{k}\nu}^*(\mathbf{r})| \downarrow \rangle - b_{\mathbf{k}\nu}^*(\mathbf{r})| \uparrow \rangle]e^{-i\mathbf{k}\cdot\mathbf{r}}$$

space inversion



$$\mathbf{k} \rightarrow -\mathbf{k}$$

$$[a_{-\mathbf{k}\nu}^*(\mathbf{r})| \downarrow \rangle - b_{-\mathbf{k}\nu}^*(\mathbf{r})| \uparrow \rangle]e^{i\mathbf{k}\cdot\mathbf{r}}$$

q.e.d.

# What happens when space inversion symmetry broken

(GaAs, InSb, interfaces, surfaces, ...)

Time reversal + space inversion symmetry

$$\epsilon_{\mathbf{k}\uparrow} = \epsilon_{\mathbf{k}\downarrow}$$

Time reversal only !

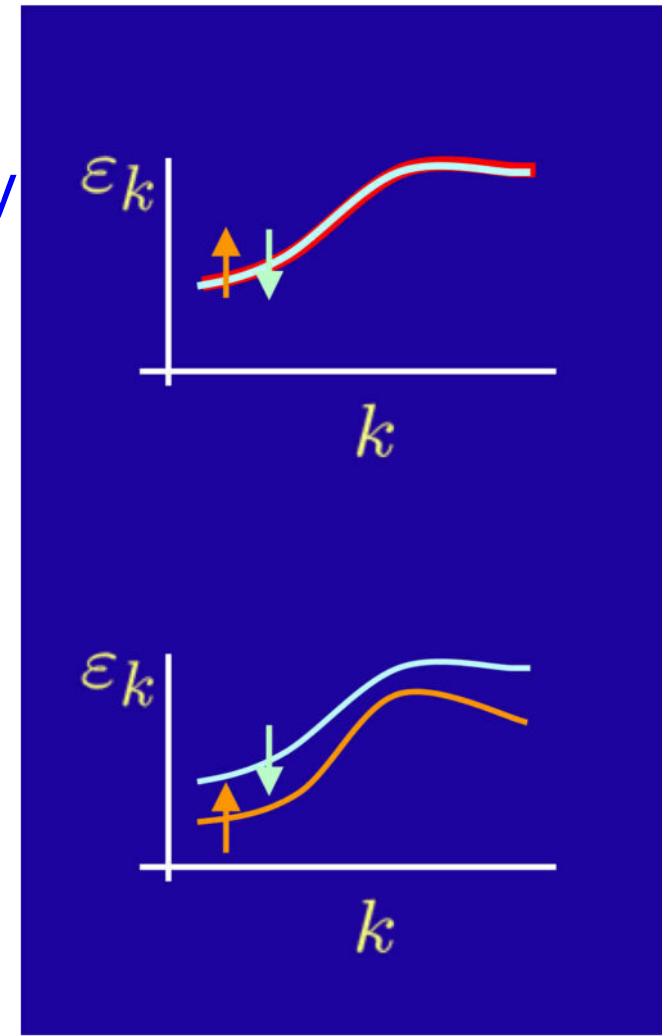
$$\epsilon_{\mathbf{k}\uparrow} = \epsilon_{-\mathbf{k}\downarrow}, \quad \epsilon_{\mathbf{k}\uparrow} \neq \epsilon_{\mathbf{k}\downarrow}$$

Effective spin-orbit (“magnetic”) field  $\Omega$ :

$$H_1(\mathbf{k}) = \frac{\hbar}{2} \Omega(\mathbf{k}) \cdot \boldsymbol{\sigma}$$

Time reversal symmetry:

$$\Omega(-\mathbf{k}) = -\Omega(\mathbf{k})$$



I. Zutić, J. Fabian, and S. Das Sarma,  
Rev. Mod. Phys. 76, 323 (2004).